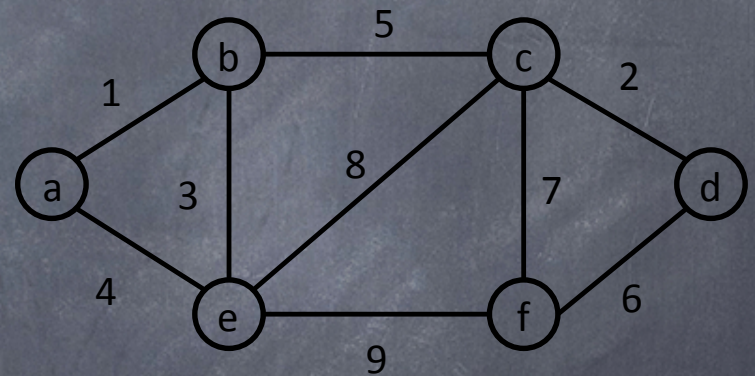


# Minimum Spanning Trees

# A Network Design Problem

**Given:** undirected graph  $G = (V, E)$  with edge costs  $c_e > 0$

**Find:** edge subset  $T \subseteq E$  such that  $(V, T)$  is connected and total cost  $\sum_{e \in T} c_e$  is as small as possible



**Fundamental problem with many applications!**

# Example

Example on board: total cost of different subgraphs

# Minimum Spanning Tree Problem

**Lemma.** Let  $T$  be a minimum-cost solution of the network design problem. Then  $(V, T)$  is a tree.

Proof on board

**Definition.**  $T \subseteq E$  is a spanning tree if  $(V, T)$  is a tree

Network design problem is the **Minimum Spanning Tree (MST) Problem**

# Greedy MST Template (Kruskal and Prim)

"Grow" a tree greedily

$T = \{\}$

While  $|T| < n-1$  { //  $(V, T)$  is not connected

    Pick "best" edge  $e$  that does not create a  
    cycle when added to  $T$

$T = T \cup \{e\}$

}

# Kruskal's Algorithm

Grow many small trees

Sort edges by weight:  $c_1 \leq c_2 \leq \dots \leq c_m$

$T = \{\}$

for  $e = 1$  to  $m$  {

    if adding  $e$  to  $T$  does not cause a cycle {

$T = T \cup \{e\}$

    }

}

Example on board

# Prim's Algorithm

Grow a tree outward from starting node  $s$

$T = \{\}$

$S = \{s\}$  // connected nodes

While  $|T| < n-1$  {

    Let  $e = (u, v)$  be the minimum cost edge from  
     $S$  to  $V-S$

$T = T \cup \{e\}$

$S = S \cup \{v\}$

}

Example on board

# Analysis: Cut Property

**Simplifying assumption.** All edge weights are distinct.

**Theorem (Cut Property).** Assume edge weights are distinct, and let  $(S, V-S)$  be a partition of  $V$  into two nonempty sets. Let  $e = (v, w)$  be the minimum cost with  $v \in S$  and  $w \notin S$ . Then every minimum spanning tree contains  $e$ .

Illustration and proof on board



# Correctness of Prim's Algorithm

**Theorem:** the tree  $T$  returned by Prim's algorithm is a minimum spanning tree.

Proof? (Hint: maintain invariant that  $T$  is a subset of some MST, use the cut property)

# Correctness of Kruskal's Algorithm

**Theorem:** the tree  $T$  returned by Kruskal's algorithm is a minimum spanning tree.

Proof? What cut can you use to prove that Kruskal's algorithm is correct?

# Removing Distinctness Assumption

**Idea:** Break ties in weights by adding tiny amount to each edge weight so they become distinct.

If perturbations are small enough then:

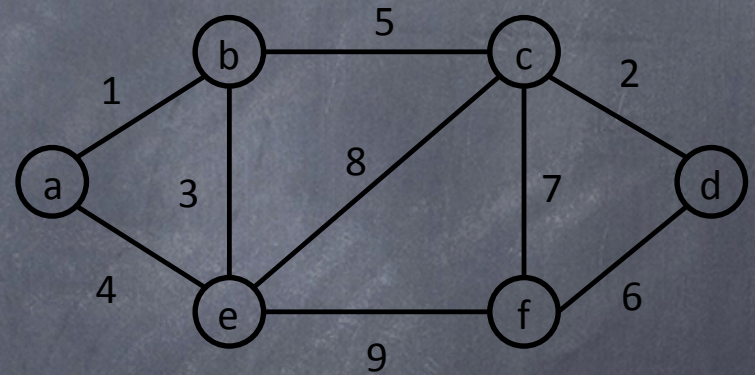
$\text{cost}(T) > \text{cost}(T')$  before  $\Rightarrow \text{cost}(T) > \text{cost}(T')$  after

**Implementation:** break ties arbitrarily (e.g., lexicographically)

# Network Design: Steiner Tree Problem

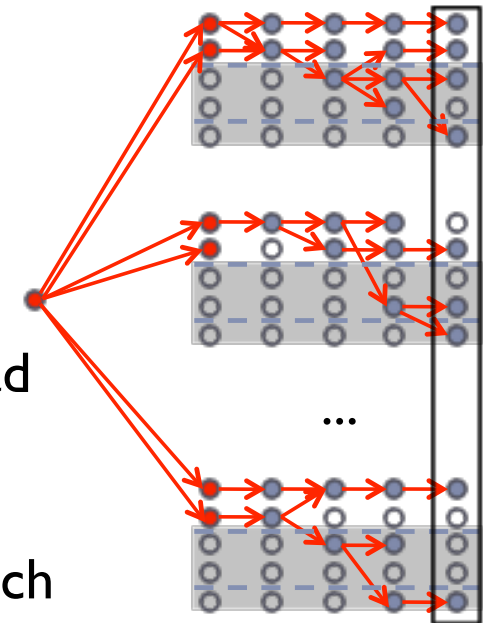
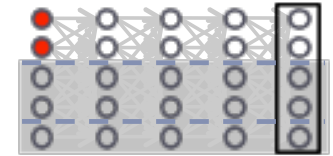
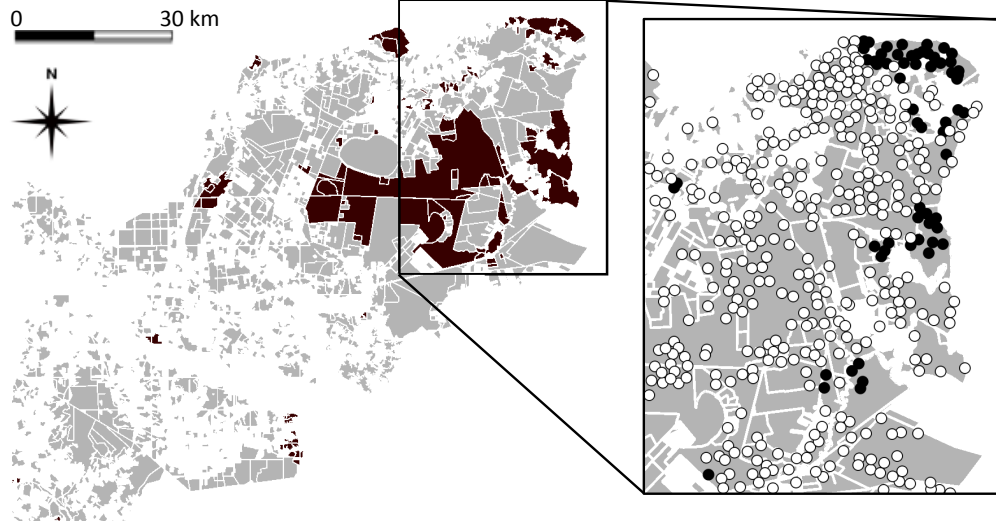
**Given:** undirected graph  $G = (V, E)$  with edge costs  $c_e > 0$  and terminals  $X \subseteq V$

**Find:** edge subset  $T \subseteq E$  such that  $(V, T)$  has a path between each pair of terminals and the total cost  $\sum_{e \in T} c_e$  is as small as possible



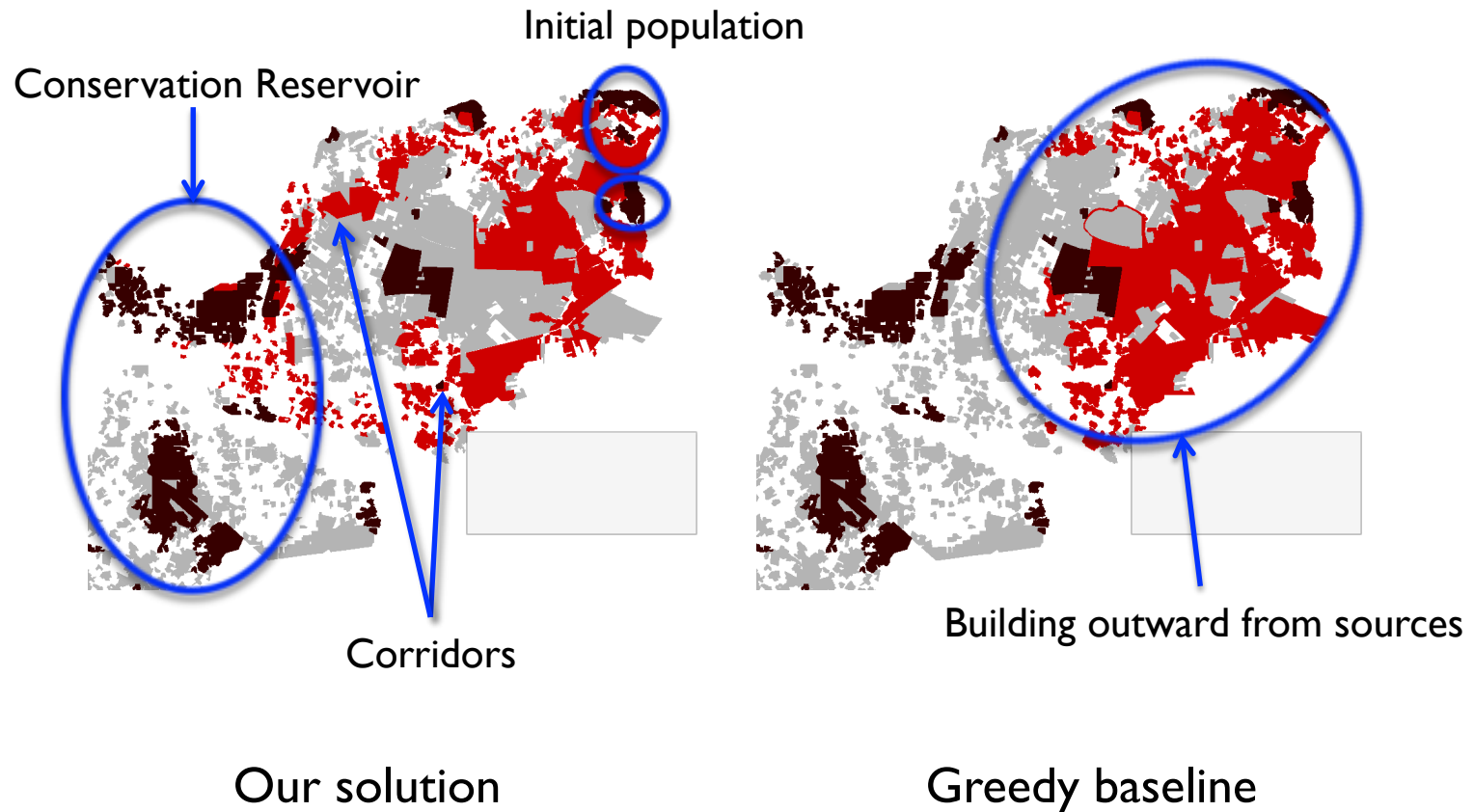
**Easier? Harder?**

# Spatial Conservation Planning



- Which land should I buy to maximize the spread of an endangered species?
- Optimization problem over a graph: decide which nodes to add to the graph, given a fixed budget

# Conservation Strategies



Formulate and solve network design problem as an integer program